



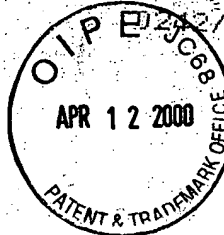
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER 09/469,586	FILING/RECEIPT DATE 12/22/99	FIRST NAMED APPLICANT O'DELL ET. AL.	ATTORNEY DOCKET NO./TITLE M 9710-0025-42
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PAUL A. ROBERTS
1133 19TH STREET NW
WASHINGTON DC 20036



Due Date
4-9-00

DATE MAILED:

2739

02/09/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ BD. 05

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

BEST AVAILABLE COPY

000 HBAROL 00000101 132491 09469506

130.00 CH 05

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

O'Dell et al

Serial No.: 09/469,506

Filed: December 22, 1999

For: Method and System of Providing Multi-User Access to a Packet Switched Network



:
:
:
:
:
Group Art Unit: 2739
:
:
Examiner: Not Assigned

TRANSMITTAL LETTER TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following correction to the Official Filing Receipt is requested: Please correct the attorney docket number, i.e., an incorrect docket has been entered on the Official Filing Receipt. Please change attorney docket number **9710-0025-42** to **UUN99001**.

A photocopy of the instant Official Filing Receipt with the corrections noted in red is enclosed, and it is therefore believed proper that a corrected Official Filing Receipt be issued, reflecting the correction of the above noted error. It is further requested that the corrected Official Filing Receipt be sent to the undersigned at the earliest possible time.

Respectfully submitted,

Paul A. Roberts
Attorney for Applicant
Registration No. 40,289

April 10, 2000
MCI WorldCom, Inc.
1133 19th Street, NW
Washington, DC 20036
Tel: (202) 736-6604
Fax: (202) 736-6382

LICENSE FOR FOREIGN FILING
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE ---- The Following Information about the Filing Receipt:

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

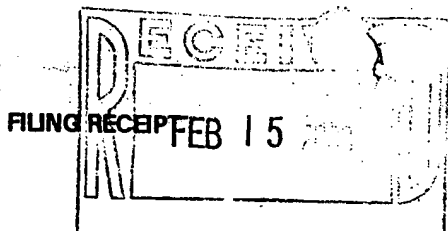
The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:

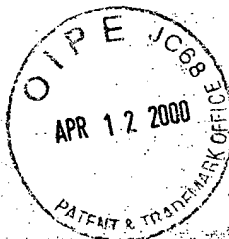
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/469,506	12/22/99	2739	\$814.00	9710-0025-42	6	23	3

PAUL A ROBERTS
1133 19TH STREET NW
WASHINGTON DC 20036



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination, Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) MICHAEL O'DELL ET AL., RESIDENCE NOT PROVIDED.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/07/00
TITLE
METHOD AND SYSTEM OF PROVIDING MULTI-USER ACCESS TO A PACKET SWITCHED NETWORK

PRELIMINARY CLASS: 370

DATA ENTRY BY: TYSON, LASHONNAH TEAM: 04 DATE: 02/07/00

|||||

(See reverse for new important information)

Paul Roberts

From: louie@uu.net on behalf of Louis A. Mamakos [louie@uu.net]
Sent: Monday, February 14, 2000 3:23 PM
To: Angela Trafton
Cc: mo@uu.net; Paul A. Roberts
Subject: Re: FW: Declaration and Assignment Documents

You can send the documents for review to:

Louis Mamakos
8553 Willow Wisp Ct
Laurel, MD 20723



you can best reach me via email, rather than telephone.

US citizenship

I don't have a reliable and secure fax number. I can give you an eFax number, but be aware that simply gets turned into email by a third party. That's 801-881-9049. Alternatively, you can sent it to 703-206-5601 which is the main UUNET fax number. The document will be put in interoffice mail where it will take a week or more to reach me, if at all.

Louis Mamakos

> From: Angela Trafton <angela.trafton@wcom.com>
> Date: Wed, 09 Feb 2000 11:16:16 EST
> To: louie@UU.NET,
> To: mo@UU.NET
> Subject: FW: Declaration and Assignment Documents

>
> Louis: Our office still needs the aforementioned information to prepare
> assignment and declaration documents.

> Louis & Mike:

>
> Once our office has the all the necessary information to prepare the
> documents, we will fax a copy of the documents for your review and
> signature. Upon signing the documents, please fax a copy to the
> aforementioned fax number and mail the originals to the aforementioned
> address.

>
> Prompt attention to this matter will allow for a timely response to the
> USPTO and avoid delays in the prosecution of your patent application.

> Thank you,

>
> Angela N. Trafton
> Patent Legal Assistant
> Technology Law Group
> Patent Acquisition & Licensing Teams
> Tel: (202) 736-6008/ vnet 222-6008
> Fax: (202) 736-6382/ vnet 222-6382

> -----Original Message-----

> From: Angela Trafton [mailto:angela.trafton@wcom.com]
> Sent: Monday, January 03, 2000 9:46 AM
> To: louie@uu.net
> Cc: paul.roberts@wcom.com
> Subject: Declaration and Assignment Documents

> Louis,

> The following information is needed in order to complete the declaration
> and assignment documents for the patent application in which both you and
> Michael O'Dell worked on the invention together.

- >
>
> (1) Your full name (including middle initial)
> (2) Your mailing/residence address
> (3) Your home telephone number
> (4) Your citizenship
> (5) Fax number (for faxing the documents)

> Upon receipt of the abovementioned information, the prepared documents will
> be faxed to you for your review and signature. After signing the originals
> (hardcopies), please fax a copy and mail the originals. The address to mail
> the originals is as follows:

>
> MCI WorldCom, Inc.
> Technology Law Group
> 1133 19th Street, NW
> Washington, DC 20036

> Attn: Angela N. Trafton

> If you have further questions or require further assistance, please contact
> in-house counsel, Paul Roberts at (202) 736-6604 or vnet 222-6604 or myself.

> Thank you,

> Angela N. Trafton
> Patent Legal Assistant
> Technology Law Group
> Patent Acquisition & Licensing Teams
> Tel: (202) 736-6008/ vnet 222-6008
> Fax: (202) 736-6382/ vnet 222-6382

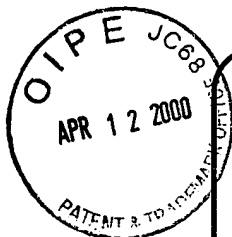
—
Louis A. Mamakos louie@uu.net, uunet!louie
Distinguished Technical Member
UUNET, an MCI WorldCom Company Voice: +1 703 886 5823
22001 Loudoun County Parkway, F1-1-615 Fax: +1 703 206 5601
Ashburn, VA 20147

Section 3

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/469,506
	Filing Date	December 22, 1999
	First Named Inventor	Michael O'Dell et al
	Group Art Unit	2739
	Examiner Name	Not assigned
Total Number of Pages in This Submission	Attorney Docket Number	UUN99001

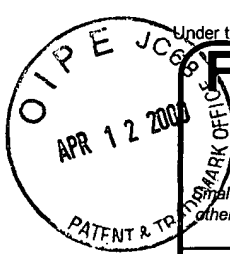
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Information Disclosure Statement	Remarks Declaration with Combined Power of Attorney	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul A. Roberts
Signature	<i>Paul A. Roberts</i>
Date	4/10/2000

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date April 10, 2000	
Typed or printed name	Angela N. Trafton
Signature	<i>Angela N. Trafton</i>
Date	4/10/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2000
Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 300.00

Complete if Known

Application Number	09/469,506
Filing Date	December 22, 1999
First Named Inventor	Michael O'Dell et al
Examiner Name	Not assigned
Group / Art Unit	2739
Attorney Docket No.	UUN99001

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 13-2491

Deposit Account Name: MCI WorldCom, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

**or number previously paid, if greater; For Reissues, see below

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 300.00					

SUBMITTED BY

Name (Print/Type)	Paul A. Roberts	Registration No. (Attorney/Agent)	40,289	Telephone	(202) 736-6604
Signature	<i>Paul A. Roberts</i>	Date	4/16/2000		

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.